

**SCHEDULE A
TO INVESTMENT ADVISORY SERVICES AGREEMENT**

Attention Advisory Representative:

Please insert your complete fee schedule below. Place your fee breakpoints in the “**Fee Breakpoints**” column (e.g. \$0 – \$499,999; \$500,000 - \$999,999; \$1,000,000 +). The breakpoint schedule used should match the schedule used in Third Party Money Manager’s account paperwork. The first breakpoint range must start with \$0.00 and the last range should be unlimited (e.g. \$1,000,000 +, more than \$1,000,000) Advisor fee for each associated breakpoint must be entered in the “**Account Fee**” column (e.g. 1.00%; .80%; .75%) If you are charging a flat fee for all account sizes you may put “all” in the “**Fee Breakpoints**” column and your corresponding flat fee in the “**Account Fee**” column. The account fee disclosed below should be limited to the fees charged by the Advisor. Third Party Money Manager fees for services provided, their payment structure, termination provisions and other aspects of each program are detailed and disclosed in Third Party Money Manager disclosure documents as described in Section 2 of Client’s Investment Advisory Services Agreement (the “Agreement”), which is incorporated herein by reference.

Name of Third Party Money Manager: _____

Name of Third Party Money Manager Program: _____

NB Account number: _____

TPMM Account Number: _____ fee schedule attached

Fee Breakpoints	Account Fee

Name of Third Party Money Manager: _____

Name of Third Party Money Manager Program: _____

NB Account number: _____

TPMM Account Number: _____ fee schedule attached

Fee Breakpoints	Account Fee

Name of Third Party Money Manager: _____

Name of Third Party Money Manager Program: _____

NB Account number: _____

TPMM Account Number: _____ fee schedule attached

Fee Breakpoints	Account Fee

Name of Third Party Money Manager: _____

Name of Third Party Money Manager Program: _____

NB Account number: _____

TPMM Account Number: _____ fee schedule attached

Fee Breakpoints	Account Fee

Name of Third Party Money Manager: _____

Name of Third Party Money Manager Program: _____

NB Account number: _____

TPMM Account Number: _____ fee schedule attached

Fee Breakpoints	Account Fee

SIGNATURES

Pursuant to Section 15, the principal to the account must sign Schedule A to execute this Agreement. If more than one, all principals to the account must sign. If any signatory is a fiduciary, the capacity in which he or she is acting should be indicated.

If this represents a new Schedule A (as described in Section 2 of this Agreement) and Client has previously executed this Agreement, it shall be incorporated by reference and need not accompany the new Schedule A.

This account is governed by a Pre-Dispute Arbitration Agreement which appears in Section 14 of the Agreement. By signing below, Client acknowledges receipt of the pre-dispute arbitration clause.

BY: CLIENT

BY: ADVISOR

Name (Print)

Name – Advisory Representative (Print)

Signature

Signature

Name (Print)

Date: ____/____/____

Signature

Date: ____/____/____